

**REQUEST FOR PROPOSAL # 15-02  
FOR  
SAFEKEEPING AND HANDLING OF  
STATE AGENCY PLEDGED SECURITIES**

**Questions & Answers**

**Q. Can additional price points be added to the fee schedule on page 32?**

**A. Yes, you may update the fee schedule to include your institutions fees.**

**Q. Inquiry related to Section 1.2 page 30, "The new institution shall be responsible for the safe transport and delivery of securities:**

**Please confirm if any of the securities held are in physical form: If yes please provide the following;**

- a. How many Physical securities are held:**
- b. Approximately how many physical certificates are received in a year?**

**A. There are currently 0 Physical securities held, we do not expect nor do we encourage physical securities.**

**Q. In reviewing Appendices A and B on pages 34 and 44, we note that the Custody Agreement must be signed by four parties: (i) the Company, (ii) the Arizona Treasurer, (iii) the State Agency and (iv) the Institution providing custodial services. Please confirm that our understanding is correct, and that the proposed institution will be a party to the contract with the State.**

**A. Yes, your understanding is correct. There are four parties and they are as stated in your question.**

**Q. Please provide a copy of the current contract.**

**A. We decline to provide that document, it is irrelevant for this process.**